

GP-213

<b>TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT</b> (Under 37 CFR 1.97(b) or 1.97(c))				<b>Docket No.</b> 14944	
In Re Application Of: Junya Watanabe					
<b>Application No.</b> 09/960,424	<b>Filing Date</b> Sept. 21, 2001	<b>Examiner</b> Unassigned	<b>Customer No.</b> 23389	<b>Group Art Unit</b> 2131	<b>Confirmation No.</b> 5559
<b>Title: DIGITAL CONTENTS GENERATING APPRATUS AND DIGITAL CONTENTS REPRODUCING APPARATUS</b>					
<p>Address to: Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450</p> <p><b>37 CFR 1.97(b)</b></p> <p>1. <input checked="" type="checkbox"/> The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.</p> <p><b>37 CFR 1.97(c)</b></p> <p>2. <input type="checkbox"/> The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:</p> <p><input type="checkbox"/> the statement specified in 37 CFR 1.97(e);</p> <p><b>OR</b></p> <p><input type="checkbox"/> the fee set forth in 37 CFR 1.17(p).</p>					

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**

(Under 37 CFR 1.9(b) or 1.9(c))

Docket No.

14944

In Re Application: Junya Watanabe



Application No.	Filing Date	Examiner	Customer No.	Group Art Unit	Confirmation No.
09/960,424	Sept. 21, 2001	Unassigned	23389	2131	5559

Title: **DIGITAL CONTENTS GENERATING APPRATUS AND DIGITAL  
CONTENTS REPRODUCING APPARATUS**

**Payment of Fee**

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of \_\_\_\_\_ is attached.
- ☒ The Director is hereby authorized to charge and credit Deposit Account No. **19-1013/SSMP** as described below.
- ☐ Charge the amount of \_\_\_\_\_
- ☒ Credit any overpayment.
- ☒ Charge any additional fee required.
- ☐ Payment by credit card. Form PTO-2038 is attached.

**WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.**

**Certificate of Transmission by Facsimile\***

I certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States Patent and Trademark Office (Fax. No. _____)
(Date) _____
Signature _____
Typed or Printed Name of Person Signing Certificate _____

**Certificate of Mailing by First Class Mail**

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to "Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450" [37 CFR 1.8(a)] on _____
December 1, 2004
(Date) _____
Signature of Person Mailing Correspondence _____
Paul J. Esatto, Jr.
Typed or Printed Name of Person Mailing Certificate _____

\*This certificate may only be used if paying by deposit account.

Dated: December 1, 2004

Signature  
Paul J. Esatto, Jr., Reg. No. 30,749  
SCULLY, SCOTT, MURPHY & PRESSER

PJE:ahs

cc:



**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

<b>Applicant:</b>	Junya Watanabe	<b>Examiner:</b>	Unassigned
<b>Serial No:</b>	09/960,424	<b>Art Unit:</b>	2131
<b>Filed:</b>	September 21, 2001	<b>Docket:</b>	14944
<b>For:</b>	DIGITAL CONTENTS GENERATING APPARATUS AND DIGITAL CONTENTS REPRODUCING APPARATUS	<b>Dated:</b>	December 1, 2004

**Confirm. No.:** 5559

Commissioner for Patents  
P. O. Box 1450  
Alexandria, VA 22313-1450  
Attn: Mail Stop Amendments

**INFORMATION DISCLOSURE STATEMENT**

Sir:

In accordance with 37 C.F.R. §§ 1.97 and 1.98, it is requested that the following references, which are also listed on the attached Form PTO-1449, be made of record in the above-identified case.

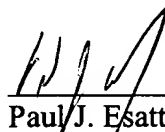
1. Japanese Laid-Open Patent Application No. 2000-172648, dated June 23, 2000;
2. Japanese Laid-Open Patent Application No. 10-254909, dated September 25, 1998;

---

**CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)**

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner of Patents and Trademarks, P.O. Box 1450, Alexandria, VA 22313-1450.

Dated: December 1, 2004

  
\_\_\_\_\_  
Paul J. Esatto, Jr.


3. Japanese Laid-Open Patent Application No. 2000-175161, dated June 23, 2000;
4. Japanese Laid-Open Patent Application No. 11-317860, dated November 16, 1999;
5. Japanese Patent Application H 11-147769 corresponding to Japanese Laid-Open Patent Application No. 2000-339227, dated December 8, 2000;
6. Japanese Laid-Open Patent Application No. 2002-57997, dated February 22, 2002; and
7. Japanese Laid-Open Patent Application No. 11-88852, dated March 30, 1999.

The references were cited in an Office Action dated September 21, 2004, received from the Japanese Patent Office. Applicant is submitting copies of the above-cited references, together with an English translation of the Examiner's comments regarding the references from the Official Action. The relevance of the references is described in the Official Action.

In compliance with the requirements of 37 C.F.R. §1.98(a)(3), as a concise statement of relevance, as it is presently understood by the individual designated in 37 C.F.R. §1.56(c) most knowledgeable about the content of the information, the undersigned attorney of record submits a translation of portions of an Official Action by a foreign examiner in which the references were cited. The relevance to the pending U.S. patent application is that the references were cited in a foreign patent application on the same subject matter. However, no independent analysis of the references, the accuracy of the statement of the foreign examiner or the claims of the foreign application under the laws of that country or the United States relative to the subject matter claimed in the present application has been made; the present understanding of the contents thereof by the undersigned being based on the translation of the foreign examiner's comments submitted herewith.

The undersigned hereby states that each item of information contained in this Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement.


Respectfully submitted,



Paul J. Esatto, Jr.  
Registration No.: 30,749

Scully, Scott, Murphy & Presser  
400 Garden City Plaza  
Garden City, New York 11530  
(516) 742-4343

PJE:ahs

 <b>INFORMATION DISCLOSURE CITATION</b> <i>(Use several sheets if necessary)</i>				Docket Number (Optional) <b>14944</b>		Application Number <b>09/960,424</b>		
				Applicant(s) <b>Junya Watanabe</b>				
				Filing Date <b>Sept. 21, 2001</b>		Group Art Unit <b>2131</b>		
<b>U.S. PATENT DOCUMENTS</b>								
*EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE	
<b>U.S. PATENT APPLICATION PUBLICATIONS</b>								
*EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE	
<b>FOREIGN PATENT DOCUMENTS</b>								
	REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation YES      NO	
		2000-172648	6/23/00	Japan				
		10-254909	9/25/98	Japan				
		2000-175161	6/23/00	Japan				
		11-317860	11/16/99	Japan				
		2000-339227	12/8/00	Japan				
<b>OTHER DOCUMENTS</b> <i>(Including Author, Title, Date, Pertinent Pages, Etc.)</i>								
EXAMINER				DATE CONSIDERED				
EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.								

<b>INFORMATION DISCLOSURE CITATION</b> <i>(Use several sheets if necessary)</i>				ATTY DOCKET NO.		APPLICATION NO.		
				14944		09/960,424		
				APPLICANT(S)				
				Junya Watanabe				
FILING DATE				GROUP ART UNIT				
Sept. 21, 2001				2131				
<b>U.S. PATENT DOCUMENTS</b>								
*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE	
<b>U.S. PATENT APPLICATION PUBLICATIONS</b>								
*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE	
<b>FOREIGN PATENT DOCUMENTS</b>								
		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
		2002-57997	2/22/02	Japan				
		11-88852	3/30/99	Japan				
<b>OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)</b>								
<b>EXAMINER</b>				<b>DATE CONSIDERED</b>				

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.